

## NEUTRINO MASS

Written February 1998 and updated October 1999 by B. Kayser (NSF).

There is now rather convincing evidence that neutrinos have nonzero masses. This evidence comes from the apparent observation of neutrino oscillation. Let us recall the physics of this phenomenon, and its relation to neutrino mass.

In the decay

$$W^+ \rightarrow \ell^+ \nu_\ell \quad (1)$$

of a  $W$  boson into a charged lepton of “flavor”  $\ell$  ( $e$ ,  $\mu$ , or  $\tau$ ), the accompanying neutrino is referred to as  $\nu_\ell$ , the neutrino of flavor  $\ell$ . Neutrinos of different flavor are different objects. When an energetic  $\nu_\ell$  undergoes a charged-current weak interaction, it produces a charged lepton  $\ell$  of the same flavor as the neutrino [1].

If neutrinos have masses, then a neutrino of definite flavor,  $\nu_\ell$ , need not be a mass eigenstate. Indeed, if leptons behave like quarks, the  $\nu_\ell$  is a coherent linear superposition of mass eigenstates, given by

$$|\nu_\ell\rangle = \sum_m U_{\ell m} |\nu_m\rangle . \quad (2)$$

Here, the  $\nu_m$  are the mass eigenstates, and the coefficients  $U_{\ell m}$  form a matrix  $U$  known as the leptonic mixing matrix. There are at least three  $\nu_m$ , and perhaps more. However, it is most often assumed that no more than three  $\nu_m$  make significant contributions to Eq. (2). Then  $U$  is a  $3 \times 3$  matrix, and according to the electroweak Standard Model (SM), extended to include neutrino masses, it is unitary.

The relation Eq. (2) means that when, for example, a  $W^+$  decays to an  $e^+$  and a neutrino, the neutrino with probability  $|U_{e1}|^2$  is a  $\nu_1$ , with probability  $|U_{e2}|^2$  is a  $\nu_2$ , and so on. This behavior is an exact leptonic analogue of what is known to occur when a  $W^+$  decays to quarks.

If each neutrino of definite flavor is a coherent superposition of mass eigenstates, then a neutrino of one flavor can spontaneously change into one of another flavor as it propagates [2]. This is the phenomenon referred to as neutrino oscillation.

To understand neutrino oscillation, let us consider how a neutrino born as the  $\nu_\ell$  of Eq. (2) evolves in time. First, we apply Schrödinger’s equation to the  $\nu_m$  component of  $\nu_\ell$  in the rest frame of that component. This tells us that [3]

$$|\nu_m(\tau_m)\rangle = e^{-iM_m\tau_m}|\nu_m(0)\rangle , \quad (3)$$

where  $M_m$  is the mass of  $\nu_m$ , and  $\tau_m$  is time in the  $\nu_m$  frame. In terms of the time  $t$  and position  $L$  in the laboratory frame, the Lorentz-invariant phase factor in Eq. (3) may be written

$$e^{-iM_m\tau_m} = e^{-i(E_mt - p_mL)} . \quad (4)$$

Here,  $E_m$  and  $p_m$  are respectively the energy and momentum of  $\nu_m$  in the laboratory frame. In practice, our neutrino will be extremely relativistic, so we will be interested in evaluating the phase factor of Eq. (4) where  $t \approx L$ , where it becomes  $\exp[-i(E_m - p_m)L]$ .

Imagine now that our  $\nu_\ell$  has been produced with a definite momentum  $p$ , so that all of its mass-eigenstate components have this common momentum. Then the  $\nu_m$  component has  $E_m = \sqrt{p^2 + M_m^2} \approx p + M_m^2/2p$ , assuming that all neutrino masses  $M_m$  are small compared to the neutrino momentum. The phase factor of Eq. (4) is then approximately

$$e^{-i(M_m^2/2p)L} . \quad (5)$$

Alternatively, suppose that our  $\nu_\ell$  has been produced with a definite energy  $E$ , so that all of its mass-eigenstate components have this common energy [4]. Then the  $\nu_m$  component has  $p_m = \sqrt{E^2 - M_m^2} \approx E - M_m^2/2E$ . The phase factor of Eq. (4) is then approximately

$$e^{-i(M_m^2/2E)L} . \quad (6)$$

Since highly relativistic neutrinos have  $E \approx p$ , the phase factors (5) and (6) are approximately equal. Thus, it doesn’t matter whether our  $\nu_\ell$  is created with definite momentum or definite energy.

From Eq. (2) and either Eq. (5) or Eq. (6), it follows that after a neutrino born as a  $\nu_\ell$  has propagated a distance  $L$ , its state vector has become

$$|\nu_\ell(L)\rangle \approx \sum_m U_{\ell m} e^{-i(M_m^2/2E)L} |\nu_m\rangle . \quad (7)$$

Using the unitarity of  $U$  to invert Eq. (2), and inserting the result in Eq. (7), we find that

$$|\nu_\ell(L)\rangle \approx \sum_{\ell'} \left[ \sum_m U_{\ell m} e^{-i(M_m^2/2E)L} U_{\ell' m}^* \right] |\nu_{\ell'}\rangle . \quad (8)$$

We see that our  $\nu_\ell$ , in traveling the distance  $L$ , has turned into a superposition of all the flavors. The probability that it has flavor  $\ell'$ ,  $P(\nu_\ell \rightarrow \nu_{\ell'}; L)$ , is obviously given by

$$P(\nu_\ell \rightarrow \nu_{\ell'}; L) = |\langle \nu_{\ell'} | \nu_\ell(L) \rangle|^2 = \left| \sum_m U_{\ell m} e^{-i(M_m^2/2E)L} U_{\ell' m}^* \right|^2 . \quad (9)$$

If it should turn out that the number of neutrino flavors,  $N$ , is greater than three, and that the  $N$  neutrinos of definite flavor are made up out of  $N$  light neutrino mass eigenstates, then the neutrino oscillation probability will still be given by this equation, but with  $U$  an  $N \times N$ , rather than  $3 \times 3$ , unitary matrix.

The mixing matrix  $U$  is often called the “Maki-Nakagawa-Sakata matrix” in recognition of the very insightful early work of these three authors on neutrino mixing and oscillation [2].

The quantum mechanics of neutrino oscillation leading to the result Eq. (9) is somewhat subtle. It has been analyzed using wave packets [5], treating a propagating neutrino as a virtual particle [6], evaluating the phase acquired by a propagating mass eigenstate in terms of the proper time of propagation [3], requiring that a neutrino’s flavor cannot change unless the neutrino travels [4], and taking different neutrino mass eigenstates to have both different momenta and different energies [7]. The subtleties of oscillation are still being explored.

Frequently, a neutrino oscillation experiment is analyzed assuming that only two neutrino flavors,  $\nu_e$  and  $\nu_\mu$  for example, mix appreciably. Then the mixing matrix  $U$  takes the form

$$U = \begin{pmatrix} \cos \theta_{e\mu} & \sin \theta_{e\mu} \\ -\sin \theta_{e\mu} & \cos \theta_{e\mu} \end{pmatrix}, \quad (10)$$

where  $\theta_{e\mu}$  is the  $\nu_e$ - $\nu_\mu$  mixing angle. Inserting this matrix into Eq. (9), we find that

$$P(\nu_e \rightarrow \nu_\mu; L) = \sin^2 2\theta_{e\mu} \sin^2 (\Delta M_{21}^2 L/4E). \quad (11)$$

Here,  $\Delta M_{21}^2 \equiv M_2^2 - M_1^2$ , where  $\nu_1$  and  $\nu_2$  are the mass eigenstates which make up  $\nu_e$  and  $\nu_\mu$ . If the omitted factors of  $\hbar$  and  $c$  are inserted into the argument  $\Delta M_{21}^2 L/4E$  of the oscillatory sine function, it becomes  $1.27 \Delta M_{21}^2 (\text{eV}^2)L (\text{km})/E (\text{GeV})$ . The probability that a  $\nu_e$  will retain its original flavor during propagation over a distance  $L$  is simply

$$P(\nu_e \rightarrow \nu_e; L) = 1 - P(\nu_e \rightarrow \nu_\mu; L). \quad (12)$$

When  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$  all mix, but two of the three corresponding mass eigenstate neutrinos  $\nu_m$  are nearly degenerate, neutrino oscillation is described by an expression nearly identical to the “two-neutrino formula” of Eq. (11). To be more precise, suppose that  $|\Delta M_{21}^2| \ll |\Delta M_{31}^2| \cong |\Delta M_{32}^2|$ , where  $\Delta M_{mm'}^2 \equiv M_m^2 - M_{m'}^2$  is the splitting between the squared masses of mass eigenstates  $\nu_m$  and  $\nu_{m'}$ . That is,  $\nu_2$  and  $\nu_1$  form a pair with a much smaller splitting than that between  $\nu_3$  and this pair. Now, suppose an oscillation experiment has  $L/E$  such that  $|\Delta M_{31}^2|L/E$  is of order unity, so that  $|\Delta M_{21}^2|L/E \ll 1$ . For this experiment, it follows from Eq. (9) and the unitarity of  $U$  that [8]

$$P(\nu_\ell \rightarrow \nu_{\ell' \neq \ell}; L) \cong |2U_{\ell 3}U_{\ell' 3}|^2 \sin^2 (\Delta M_{31}^2 L/4E). \quad (13)$$

Because  $|\Delta M_{21}^2|L/E \ll 1$ , this experiment cannot “see” the splitting between  $\nu_2$  and  $\nu_1$ , so these two mass eigenstates behave as if they were a single one. Thus, in this experiment there appear to be only two mass eigenstates altogether, so it is no surprise that the expression for neutrino oscillation, Eq. (13), is very similar to the “two-neutrino” result of Eq. (11).

In a beam of neutrinos born with flavor  $\ell_a$ , neutrino oscillation can be sought in two ways: First, one may seek the *appearance* in the beam of neutrinos of a different flavor,  $\ell_b$ . Secondly, one may seek a *disappearance* of some of the original  $\nu_{\ell_a}$  flux, or an  $L$ - or  $E$ -dependence of this flux.

Clearly, no oscillation is expected unless  $L/E$  of the experiment is sufficiently large that the phase factors  $\exp(-iM_m^2 L/2E)$  in Eq. (9) differ appreciably from one another. Otherwise,  $P(\nu_\ell \rightarrow \nu_{\ell'}; L) = |\sum_m U_{\ell m} U_{\ell' m}^*|^2 = \delta_{\ell\ell'}$ . Now, with omitted factors of  $\hbar$  and  $c$  inserted, the relative phase of  $\exp(-iM_m^2 L/2E)$  and  $\exp(-iM_{m'}^2 L/2E)$  is  $2.54 \Delta M_{mm'}^2 (\text{eV}^2) L(\text{km})/E(\text{GeV})$ . Thus, for example, an experiment in which neutrinos with  $E \approx 1$  GeV travel 1 km between production and detection will be sensitive to  $\Delta M^2 \gtrsim 1 \text{ eV}^2$ .

A more direct way than neutrino oscillation experiments to search for neutrino mass is to look for its kinematical effects in decays which produce a neutrino. In the decay  $X \rightarrow Y \ell^+ \nu_\ell$ , where  $X$  is a hadron and  $Y$  is zero or more hadrons, the momenta of  $\ell^+$  and the particles in  $Y$  will obviously be modified if  $\nu_\ell$  has a mass. If  $\nu_\ell$  is a superposition of mass eigenstates  $\nu_m$ , then  $X \rightarrow Y \ell^+ \nu_\ell$  is actually the sum of the decays  $X \rightarrow Y \ell^+ \nu_m$  yielding every  $\nu_m$  light enough to be emitted. Thus, if, for example, one  $\nu_m$  is much heavier than the others, the energy spectrum of  $\ell^+$  may show a threshold rise where the  $\ell^+$  energy becomes low enough for the heavy  $\nu_m$  to be emitted [9]. However, if neutrino mixing is small, then the decays  $X \rightarrow Y \ell^+ \nu_m$  yield almost always the neutrino mass eigenstate which is the dominant component of  $\nu_\ell$ . The kinematics of  $\ell^+$  and  $Y$  then reflect the mass of this mass eigenstate.

From kinematical studies of the particles produced in  ${}^3\text{H} \rightarrow {}^3\text{He} e^- \bar{\nu}_e, \pi \rightarrow \mu \nu_\mu$ , and  $\tau \rightarrow n \pi \nu_\tau$ , various upper bounds on neutrino mass have been obtained. In the case of the decay  ${}^3\text{H} \rightarrow {}^3\text{He} e^- \bar{\nu}_e$ , the upper bound on the neutrino mass is derived from study of the  $e^-$  energy spectrum. It should be noted that in several experiments, the observed spectrum is not well fit by the standard theoretical expression, either with

vanishing or nonvanishing neutrino mass. However, progress is being made in understanding the spectral anomalies [10].

Neutrinos carry neither electric charge nor, as far as we know, any other charge-like quantum numbers. To be sure, it may be that the reason an interacting “neutrino” creates an  $\ell^-$ , while an “antineutrino” creates an  $\ell^+$ , is that neutrinos and antineutrinos carry opposite values of a conserved “lepton number.” However, there may be no lepton number. Even then, the fact that “neutrinos” and “antineutrinos” interact differently can be easily understood. One need only note that, in practice, the particles we call “neutrinos” are always left-handed, while the ones we call “antineutrinos” are right-handed. Since the weak interactions are not invariant under parity, it is then possible to attribute the difference between the interactions of “neutrinos” and “antineutrinos” to the fact that these particles are oppositely polarized.

If the neutrino mass eigenstates do not carry any charge-like attributes, they may be their own antiparticles. A neutrino which is its own antiparticle is called a Majorana neutrino, while one which is not is called a Dirac neutrino.

If neutrinos are of Majorana character, we can have neutrinoless double beta-decay ( $\beta\beta_{0\nu}$ ), in which one nucleus decays to another by emitting two electrons and nothing else. This process can be initiated through the emission of two virtual  $W$  bosons by the parent nucleus. One of these  $W$  bosons then emits an electron and an accompanying virtual “antineutrino.” In the Majorana case, this “antineutrino” is no different from a “neutrino,” except for its right-handed helicity. If the virtual neutrino has a mass, then (like the  $e^+$  in nuclear  $\beta$ -decay), it is not fully right-handed, but has a small amplitude, proportional to its mass, for being left-handed. Its left-handed component is precisely what we call a “neutrino,” and can be absorbed by the second virtual  $W$  boson to create the second outgoing electron. This mechanism yields for  $\beta\beta_{0\nu}$  an amplitude proportional to an effective neutrino mass  $\langle M \rangle$ , given in a common phase convention by [11]

$$\langle M \rangle = \sum_m U_{em}^2 M_m . \quad (14)$$

Experimental upper bounds on the  $\beta\beta_{0\nu}$  rate are used to derive upper bounds on  $\langle M \rangle$ . Note that, owing to possible phases in the mixing matrix elements  $U_{em}$ , the relation between  $\langle M \rangle$  and the actual masses  $M_m$  of the neutrino mass eigenstates can be somewhat complicated. The process  $\beta\beta_{0\nu}$  is discussed further by P. Vogel in this *Review*.

If neutrinos are their own antiparticles, then their magnetic and electric dipole moments must vanish. To see why, recall that *CPT* invariance requires that the dipole moments of the electron and its antiparticle be equal and opposite. Similarly, *CPT* invariance would require that the dipole moments of a neutrino and its antiparticle be equal and opposite. But, if the antiparticle of the neutrino is the neutrino itself, this means that the dipole moments must vanish [12].

If neutrinos are not their own antiparticles, then they can have dipole moments. However, for a Dirac neutrino mass eigenstate  $\nu_m$ , the magnetic dipole moment  $\mu_m$  predicted by the Standard Model (extended to include neutrino masses) is only [13]

$$\mu_m = 3.2 \times 10^{-19} M_m(\text{eV}) \mu_B , \quad (15)$$

where  $\mu_B$  is the Bohr magneton.

Whether neutrinos are their own antiparticles or not, there may be *transition* magnetic and electric dipole moments. These induce the transitions  $\nu_m \rightarrow \nu_{m' \neq m} \gamma$ .

A Majorana neutrino, being its own antiparticle, obviously consists of just two states: spin up and spin down. In contrast, a Dirac neutrino, together with its antiparticle, consists of four states: the spin-up and spin-down neutrino states, plus the spin-up and spin-down antineutrino states. A four-state Dirac neutrino may be pictured as comprised of two degenerate two-state Majorana neutrinos. Conversely, in the field-theory description of neutrinos, by introducing so-called Majorana mass terms, one can split a Dirac neutrino,  $D$ , into two nondegenerate Majorana neutrinos,  $\nu$  and  $N$ . In some extensions of the SM, it is natural for the  $D$ ,  $\nu$ , and  $N$  masses,  $M_D$ ,  $M_\nu$ , and  $M_N$ , to be related by

$$M_\nu M_N \approx M_D^2 . \quad (16)$$

In these extensions, it is also natural for  $M_D$  to be of the order of  $M_{\ell \text{ or } q}$ , the mass of a typical charged lepton or quark. Then we have [14]

$$M_\nu M_N \sim M_{\ell \text{ or } q}^2 . \quad (17)$$

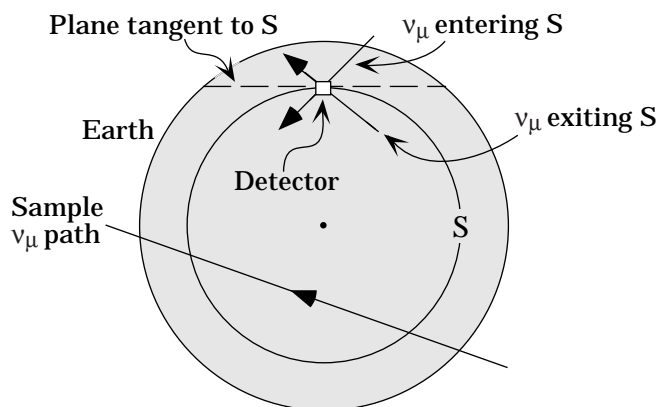
Suppose now that  $M_N \gg M_{\ell \text{ or } q}$ , so that  $N$  is a very heavy neutrino which has not yet been observed. Then relation Eq. (17), known as the seesaw relation, implies that  $M_\nu \ll M_{\ell \text{ or } q}$ . Thus,  $\nu$  is a candidate for one of the light neutrino mass eigenstates which make up  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ . So long as  $N$  is heavy, the seesaw relation explains, without fine tuning, why a mass eigenstate component of  $\nu_e$ ,  $\nu_\mu$ , or  $\nu_\tau$  will be light. Interestingly, the picture from which the seesaw relation arises predicts that the mass eigenstate components of  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$  are Majorana neutrinos.

There are three reported indications that neutrinos actually oscillate in nature, and thus have mass. There is rather convincing evidence that the atmospheric neutrinos oscillate, fairly strong evidence that the solar neutrinos do, and so-far unconfirmed evidence that the neutrinos studied by the LSND experiment do as well.

The atmospheric neutrinos are produced in the earth's atmosphere by cosmic rays, and then detected in an underground detector. Incident on this detector are neutrinos coming from all directions, created in the atmosphere all around the earth. The most compelling evidence that something very interesting happens to these atmospheric neutrinos en route to the detector is the fact that the detected upward-going atmospheric  $\nu_\mu$  flux  $U$  (coming from all directions below the horizontal at the detector) differs from the corresponding downward-going flux  $D$ . Suppose that neither neutrino oscillation nor any other mechanism decreases or increases the  $\nu_\mu$  flux as the neutrinos travel from their points of origin to the detector. Then, as illustrated in Fig. 1, any  $\nu_\mu$  that enters the sphere  $S$  defined in the figure caption will later exit this sphere. Thus, since we are dealing with a steady-state situation, the total  $\nu_\mu$  fluxes entering and exiting  $S$  per unit time must be equal. Now, for neutrino energies above a few GeV, the flux of cosmic rays



which produce the atmospheric neutrinos is isotropic. Consequently, these neutrinos are being created at the same rate all around the earth. Owing to this spherical symmetry, the equality between the  $\nu_\mu$  fluxes entering and exiting  $S$  must hold at any point of  $S$ , such as the location of the detector. Now, as shown in Fig. 1, a  $\nu_\mu$  entering  $S$  through the detector must be part of the downward-going flux  $D$ . One exiting  $S$  through the detector must be part of the upward-going flux  $U$ . Thus, the equality of the  $\nu_\mu$  fluxes entering and exiting  $S$  at the detector implies that  $D = U$ . (It is easily shown that this equality must hold not only for the integrated downward and upward fluxes, but angle by angle. That is, the flux coming down from zenith angle  $\theta_Z$  must equal that coming up from angle  $\pi - \theta_Z$ .)



**Figure 1:** Atmospheric muon neutrino fluxes at an underground detector.  $S$  is a sphere centered at the center of the earth and passing through the detector.

The underground Super-Kamiokande detector (Super-K) finds that for multi-GeV atmospheric muon neutrinos [15],

$$\frac{\text{Flux Up}(-1.0 < \cos \theta_Z < -0.2)}{\text{Flux Down}(+0.2 < \cos \theta_Z < +1.0)} = 0.52 \pm 0.05 , \quad (18)$$

in strong disagreement with the requirement that the upward and downward fluxes be equal. Thus, some mechanism must be changing the  $\nu_\mu$  flux as the neutrinos travel to the detector.

The most attractive candidate for this mechanism is neutrino oscillation. Since the atmospheric  $\nu_e$  flux is compatible with up-down symmetry, the electron neutrinos do not seem to be involved significantly in this oscillation. All of the detailed Super-K atmospheric neutrino data are well described by the hypothesis that  $\nu_\mu \rightarrow \nu_\tau$  oscillation is occurring, with [16]

$$2 \times 10^{-3} \text{eV}^2 \lesssim \Delta M^2 \lesssim 6 \times 10^{-3} \text{eV}^2 \quad (19)$$

and

$$\sin^2 2\theta \approx 1 \quad . \quad (20)$$

Other experiments favor roughly similar regions of parameter space [17].

The order of magnitude of the splitting  $\Delta M^2$  in Eq. (19) may be understood by noting that for  $E \sim 1$  GeV, upward-going neutrinos have  $L/E \sim 10^4 \text{km}/1 \text{ GeV}$ , while downward-going ones have  $L/E \sim 10 \text{ km}/1 \text{ GeV}$ . Thus, if  $\Delta M^2 \sim 10^{-3} \text{ eV}^2$ , the argument  $[1.27\Delta M^2(\text{eV}^2)L(\text{km})/E(\text{GeV})]$  of the oscillatory factor in Eq. (11) (applied to the relevant observation channel) exceeds unity for the upward-going neutrinos, but is quite small for the downward-going ones. As a result, the upward-going muon neutrinos oscillate away into neutrinos of another flavor, but the downward-going ones do not. This explains why the flux ratio of Eq. (18) is less than unity.

Conceivably, upward-going muon neutrinos are disappearing, not as a result of neutrino oscillation, but through neutrino decay. This possibility is theoretically less likely than oscillation. However, it is interesting to note that it is not at all excluded by the present data [18]. Of course, neutrino decay, like neutrino oscillation, implies neutrino mass.

The flux of solar neutrinos has been detected on earth by several experiments [19] with different neutrino energy thresholds. In every experiment, the flux is found to be below the corresponding prediction of the Standard Solar Model (SSM) [20]. The discrepancies between the observed fluxes and the SSM predictions have proven very difficult to explain by simply modifying the SSM, without invoking neutrino mass [21]. Indeed, we know of no attempt which has succeeded despite very serious

and clever attempts [22–24]. By contrast, all the existing observations can successfully and elegantly be explained if one does invoke neutrino mass. The most popular explanation of this type is based on the Mikheyev-Smirnov-Wolfenstein (MSW) effect—a matter-enhanced neutrino oscillation [25].

The neutrinos produced by the nuclear processes that power the sun are electron neutrinos  $\nu_e$ . With some probability, the MSW effect converts a  $\nu_e$  into a neutrino  $\nu_x$  of another flavor. Depending on the specific version of the effect,  $\nu_x$  is a  $\nu_\mu$ , a  $\nu_\tau$ , a  $\nu_\mu$ - $\nu_\tau$  mixture, or perhaps a sterile neutrino  $\nu_s$ . Since present solar neutrino detectors are sensitive to a  $\nu_e$ , but wholly, or at least largely, insensitive to a  $\nu_\mu$ ,  $\nu_\tau$ , or  $\nu_s$ , the flavor conversion accounts for the low observed fluxes.

The MSW  $\nu_e \rightarrow \nu_x$  conversion results from interaction between neutrinos and solar electrons as the neutrinos travel outward from the solar core, where they were produced. When, for example, the neutrino mixing is small, the conversion requires that, somewhere in the sun, the total energy of a  $\nu_e$  of given momentum, including the energy of its interaction with the solar electrons, equal the total energy of the  $\nu_x$  of the same momentum, so that we have an energy level crossing. Given the typical density of solar electrons, and the typical momenta of solar neutrinos, the condition that there be a level crossing requires that

$$M_{\nu_x}^2 - M_{\nu_e}^2 \equiv \Delta M_{\nu_x \nu_e}^2 \sim 10^{-5} \text{eV}^2, \quad (21)$$

where  $M_{\nu_e}$ , continuing to assume small mixing, is the mass of the dominant mass eigenstate component of  $\nu_e$ , and similarly for  $M_{\nu_x}$ .

The observed solar neutrino fluxes can also be explained by supposing that on their way from the sun to the earth, the electron neutrinos produced in the solar core undergo vacuum oscillation into neutrinos of another flavor [26]. Assuming that only two neutrino flavors are important to this oscillation, the oscillation probability is described by an expression of the form given by Eq. (11). To explain the observed suppression of the solar  $\nu_e$  flux to less than half the predicted value at some energies, and



Suppose we assume that the behavior of the atmospheric, solar, and LSND neutrinos are all to be understood in terms of neutrino oscillation. What neutrino masses are then suggested?

If there are only three neutrinos of definite flavor,  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ , made up out of just three neutrinos of definite mass,  $\nu_1$ ,  $\nu_2$ , and  $\nu_3$ , then there are only three mass splittings  $\Delta M_{mm'}^2$ , and they obviously satisfy

$$\begin{aligned} \Delta M_{32}^2 + \Delta M_{21}^2 + \Delta M_{13}^2 = \\ (M_3^2 - M_2^2) + (M_2^2 - M_1^2) + (M_1^2 - M_3^2) = 0 . \end{aligned} \quad (24)$$

Now, as we have seen, the  $\Delta M^2$  values required to explain the atmospheric, solar, and LSND oscillations are of three different orders of magnitude. Thus, they cannot possibly obey the constraint of Eq. (24). Hence, to explain all three of the reported neutrino oscillations, one must introduce a fourth neutrino. Since this neutrino is known to make no contribution to the width of the  $Z^0$  [34], it must be a neutrino which does not participate in the normal weak interactions—a “sterile” neutrino.

One four-neutrino scheme which accounts for all three reported oscillations contains the following neutrino mass eigenstates: A nearly degenerate pair,  $\nu_3$ ,  $\nu_2$ , with  $M_3 \approx M_2 \sim 1$  eV, and a much lighter pair,  $\nu_1$ ,  $\nu_0$ , with the mass of  $\nu_0$ ,  $M_0$ , roughly  $3 \times 10^{-3}$  eV, and  $M_1 \ll M_0$ . The mass splitting  $M_3^2 - M_2^2$  is chosen to be  $\sim 4 \times 10^{-3}$  eV<sup>2</sup> to explain the oscillation of the atmospheric neutrinos. Interpreting that oscillation as  $\nu_\mu \rightarrow \nu_\tau$  with near maximal mixing, we take  $\nu_2$  and  $\nu_3$  to be approximately 50–50 mixtures of  $\nu_\mu$  and  $\nu_\tau$ . The splitting  $M_0^2 - M_1^2 \approx M_0^2 \sim 10^{-5}$  eV<sup>2</sup> allows us to interpret the solar neutrino observations in terms of the MSW effect. We take  $\nu_1$  to be largely  $\nu_e$ , and  $\nu_0$  to be largely a sterile neutrino  $\nu_s$ , so that the MSW effect converts  $\nu_e$  to a sterile neutrino. Finally, the mass-squared splitting of  $\sim 1$  eV<sup>2</sup> between the heavier pair and the lighter one enables us to explain the oscillation reported by LSND [35].

The existing indications of neutrino oscillation, and the possible neutrino-mass scenarios which they suggest, will be probed in future neutrino experiments.

In addition to the  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$  sections, the *Review of Particle Physics* includes sections on “Number of Light Neutrino Types,” “Heavy Lepton Searches,” and “Searches for Massive Neutrinos and Lepton Mixing.” Also see other recent reviews [36].

## References

1. G. Danby *et al.*, Phys. Rev. Lett. **9**, 36 (1962).
2. Z. Maki, M. Nakagawa, and S. Sakata, Prog. Theor. Phys. **28**, 870 (1962);  
B. Pontecorvo, Zh. Eksp. Teor. Fiz. **53**, 1717 (1967) [Sov. Phys. JETP **26**, 984 (1968)];  
V. Gribov and B. Pontecorvo, Phys. Lett. **B28**, 493 (1969);  
S. Bilenky and B. Pontecorvo, Phys. Reports **C41**, 225 (1978);  
A. Mann and H. Primakoff, Phys. Rev. **D15**, 655 (1977).
3. B. Kayser and L. Stodolsky, Phys. Lett. **B359**, 343 (1995).  
See also Y. Srivastava, A. Widom, and E. Sassaroli, Z. Phys. **C66**, 601 (1995).
4. Y. Grossman and H. Lipkin, Phys. Rev. **D55**, 2760 (1997);  
H. Lipkin, Phys. Lett. **B348**, 604 (1995).
5. B. Kayser, Phys. Rev. **D24**, 110 (1981);  
C. Giunti, C. Kim, and U. Lee, Phys. Rev. **D44**, 3635 (1991).
6. J. Rich, Phys. Rev. **D48**, 4318 (1993);  
W. Grimus and P. Stockinger, Phys. Rev. **D54**, 3414 (1996);  
W. Grimus, S. Mohanty, and P. Stockinger, eprint hep-ph/9904340.
7. T. Goldman, eprint hep-ph/9604357;  
F. Boehm and P. Vogel, *Physics of Massive Neutrinos* (Cambridge University Press, Cambridge, 1987) p. 87.
8. S. Bilenky, *Proceedings of the XV Workshop on Weak Interactions and Neutrinos*, eds. G. Bonneauud, V. Brisson, T. Kafka, and J. Schneps (Tufts University, Medford, 1995) p. 1122.
9. R. Shrock, Phys. Lett. **B96**, 159 (1980); Phys. Rev. **D24**, 1232 (1981); Phys. Rev. **D24**, 1275 (1981).
10. E. Otten, talk presented at the Workshop on Low-Energy Neutrino Physics, Institute for Nuclear Theory, Univ. of Washington, July 1999;  
V. Lobashev, *ibid.*;  
R.G.H. Robertson *et al.*, Phys. Rev. Lett. **67**, 957 (1991);

- H. Kawakami *et al.*, Phys. Lett. **B256**, 105 (1991);  
E. Holzschuh *et al.*, Phys. Lett. **B287**, 381 (1992);  
W. Stoeffl and D. Decman, Phys. Rev. Lett. **75**, 3237 (1995);  
H. Backe *et al.*, *Proceedings of the 17th Int. Conf. on Neutrino Physics and Astrophysics*, eds. K. Engvist, K. Huitu, and J. Maalampi (World Scientific, Singapore, 1997) p. 259;  
V. Lobashev *et al.*, *ibid.*, p. 264.
11. M. Doi *et al.*, Phys. Lett. **B102**, 323 (1981);  
L. Wolfenstein, Phys. Lett. **B107**, 77 (1981);  
B. Kayser and A. Goldhaber, Phys. Rev. **D28**, 2341 (1983);  
B. Kayser, Phys. Rev. **D30**, 1023 (1984);  
S. Bilenky, N. Nedelcheva, and S. Petcov, Nucl. Phys. **B247**, 61 (1984).
  12. For further discussion of the physics of Majorana neutrinos, see, for example, B. Kayser, F. Gibrat-Debu, and F. Perrier, *The Physics of Massive Neutrinos* (World Scientific, Singapore, 1989).
  13. B.W. Lee and R. Shrock, Phys. Rev. **D16**, 1444 (1977);  
K. Fujikawa and R. Shrock, Phys. Rev. Lett. **45**, 963 (1980).
  14. M. Gell-Mann, P. Ramond, and R. Slansky, in *Supergravity*, eds. D. Freedman and P. van Nieuwenhuizen (North Holland, Amsterdam, 1979) p. 315;  
T. Yanagida, in *Proceedings of the Workshop on Unified Theory and Baryon Number in the Universe*, eds. O. Sawada and A. Sugamoto (KEK, Tsukuba, Japan, 1979);  
R. Mohapatra and G. Senjanovic, Phys. Rev. Lett. **44**, 912 (1980) and Phys. Rev. **D23**, 165 (1981).
  15. A. Mann, talk presented at the XIX Int. Symposium on Lepton-Photon Interactions, Stanford University, August, 1999, eprint hep-ex/9912007.
  16. R. Wilkes, talk presented on behalf of the Super-K Collaboration on 27 July 1999, at the Workshop on Low-Energy Neutrino Physics at the Inst. for Nucl. Theory, Univ. of Washington, Seattle.
  17. D. Michael, for the MACRO Collaboration, in *Proc. 29th Int. Conf. High Energy Phys.*, edited by A. Astbury, D. Axen, and J. Robinson (World Scientific, Singapore, 1999) p. 584;  
H. Gallagher, for the Soudan 2 Collaboration, *ibid.* p. 579;  
Y. Fukuda *et al.*, Phys. Lett. **B335**, 237 (1994).

18. V. Barger *et al.*, Phys. Lett. **B462**, 109 (1999).
19. Y. Fukuda *et al.*, Phys. Rev. Lett. **81**, 1158 (1998);  
B. Cleveland *et al.*, Ap. J. **496**, 505 (1998);  
T. Kirsten for the GALLEX and GNO Collaborations,  
Nucl. Phys. Proc. Suppl. **77**, 26 (1999);  
Dzh. Abdurashitov for the SAGE Collaboration, *ibid.*,  
p. 20.
20. J. Bahcall, S. Basu, and M. Pinsonneault, Phys. Lett.  
**B433**, 1 (1998).
21. J. Bahcall and H. Bethe, Phys. Rev. Lett. **65**, 2233 (1990)  
and Phys. Rev. **D44**, 2962 (1991);  
N. Hata and P. Langacker, Phys. Rev. **D56**, 6107 (1997).
22. N. Hata, S. Bludman, and P. Langacker, Phys. Rev. **D49**,  
3622 (1994).
23. K.M. Heeger and R.G.H. Robertson, Phys. Rev. Lett. **77**,  
3720 (1996).
24. J.N. Bahcall, P.I. Krastev, and A.Yu. Smirnov, Phys. Rev.  
**D58**, 096016 (1998).
25. L. Wolfenstein, Phys. Rev. **D17**, 2369 (1978) and Phys.  
Rev. **D20**, 2634 (1979);  
S. Mikheyev and A. Smirnov, Yad. Fiz. **42**, 1441 (1985)  
[Sov. J. Nucl. Phys. **42**, 913 (1985)]; Nuovo Cimento **9C**,  
17 (1986).
26. P. Krastev and S. Petcov, Phys. Rev. **D53**, 1665 (1996).
27. However, this spectrum may be modified by unexpectedly  
large contributions from sources other than  $^8\text{B}$  decay, as  
emphasized in R. Escribano, J.-M. Frere, A. Gevaert, and  
D. Monderen, Phys. Lett. **B444**, 397 (1998).
28. M. Maris and S. Petcov, Phys. Rev. **D56**, 7444 (1997);  
S. Petcov, Phys. Lett. **B434**, 321 (1998).
29. Y. Fukuda *et al.*, (the Super-Kamiokande Collaboration),  
Phys. Rev. Lett. **82**, 2430 (1999); *ibid.* p. 1810.
30. C. Athanassopoulos *et al.*, (LSND Collaboration), Phys.  
Rev. **C54**, 2685 (1996) and Phys. Rev. Lett. **77**, 3082  
(1996).
31. C. Athanassopoulos *et al.*, (LSND Collaboration), Phys.  
Rev. Lett. **81**, 1774 (1998) and Phys. Rev. **C58**, 2511  
(1998).
32. R. Maschuw, talk presented at the 17th Int. Workshop  
on Weak Interactions and Neutrinos, Cape Town, South  
Africa, January 1999;  
K. Eitel, eprint hep-ex/9909036.



33. There is an interesting argument that the  $r$  process in supernovae may be an additional hint of neutrino oscillation. See Y.-Z. Qian and G. Fuller, Phys. Rev. **D52**, 656 (1995), and references therein.
34. D. Karlen, in this *Review*.
35. This is a somewhat modified version of a neutrino-mass scenario proposed in D. Caldwell and R. Mohapatra, Phys. Rev. **D48**, 3259 (1993). In constructing this scenario, we have not assumed that neutrinos are a component of the dark matter in the universe. See also J. Peltoniemi, D. Tommasini, and J. Valle, Phys. Lett. **B298**, 383 (1993); V. Barger, S. Pakvasa, T. Weiler, and K. Whisnant, Phys. Rev. **D58**, 093016 (1998).
36. Recent detailed reviews of neutrino mass and oscillation include K. Zuber, Phys. Rept. **305**, 295 (1998); S. Bilenky, C. Giunti, and W. Grimus, Prog. Part. Nucl. Phys. **43**, 1 (1999); G. Altarelli and F. Feruglio, in *Venice 1999, Neutrino Telescopes*, **2**, 353; P. Fisher, B. Kayser, and K. McFarland, *Ann. Rev. Nucl. Part. Sci.*, **49**, eds. C. Quigg, V. Luth, and P. Paul (Annual Reviews, Palo Alto, California, 1999) p. 481.