

Many Matrices Can Represent the Same Linear Op

$$\underline{\underline{\Omega}} \equiv \begin{pmatrix} \Omega_{11} & \Omega_{12} & \dots \\ \Omega_{21} & \Omega_{22} & \dots \\ \vdots & \vdots & \ddots \end{pmatrix}, \quad \Omega_{ij} = \langle i | \underline{\underline{\Omega}} | j \rangle, \quad \underline{\underline{\Omega}} = \sum_{ij} |i\rangle \Omega_{ij} \langle j|$$

$\uparrow \quad \uparrow$
 one basis

or

$$\underline{\underline{\Omega}} \equiv \begin{pmatrix} \Omega'_{11} & \Omega'_{12} & \dots \\ \Omega'_{21} & \Omega'_{22} & \dots \\ \vdots & \vdots & \ddots \end{pmatrix}, \quad \Omega'_{ij} = \langle i' | \underline{\underline{\Omega}} | j' \rangle, \quad \underline{\underline{\Omega}} = \sum_{ij} |i'\rangle \Omega'_{ij} \langle j'|$$

and

$$\Omega_{ij} = \langle i | \underline{\underline{\Omega}} | j \rangle = \sum_{ke} \underbrace{\langle i | k \rangle}_{U_{ik}^+} \underbrace{\langle k | \underline{\underline{\Omega}} | e \rangle}_{\Omega'_{ke}} \underbrace{\langle e | j \rangle}_{U_{ej}}$$

$$\Omega_{ij} = \sum_{ke} U_{ik}^+ \Omega'_{ke} U_{ej}$$

Different (matrix) representations of the same $\underline{\underline{\Omega}}$ are related by a unitary transformation.

"Fundamental" properties of $\underline{\underline{\Omega}}$: • Trace; • Determinant

Determinant:

0 when ... columns linearly dependent

example: $\begin{pmatrix} a & a \\ b & b \end{pmatrix}; \quad \begin{vmatrix} a & a \\ b & b \end{vmatrix} = ab - ab = 0$

note also: $\begin{pmatrix} a & a \\ b & b \end{pmatrix} \begin{bmatrix} c \\ -1 \end{bmatrix} = 0$ (no inverse!)

→ When $\det(\text{Matrix}) = 0$,

1) No inverse, meaning non-trivial vectors are mapped into 0

2) "Volume" of matrix is 0

Eigenvalues (these are fundamental too)

There will (usually) be one matrix representation of $\hat{\Omega}$ that is diagonal, namely ...

$$\hat{\Omega} \approx \begin{pmatrix} w_1 & 0 & 0 & \dots \\ 0 & w_2 & 0 & \dots \\ 0 & 0 & w_3 & \dots \\ \vdots & \vdots & \vdots & \ddots \\ 0 & 0 & 0 & w_n \end{pmatrix}$$

the basis that diagonalizes $\hat{\Omega}$ is the "eigenbasis," $\{|w_1\rangle, |w_2\rangle, \dots, |w_n\rangle\}$ and $\langle w_i | \hat{\Omega} | w_j \rangle = w_i \delta_{ij}$
 $\langle w_i | w_j \rangle = \delta_{ij}$

note

$$\begin{pmatrix} w_1 & 0 & 0 \\ 0 & w_2 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & w_n \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} = w_1 \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \quad \begin{pmatrix} w_1 & 0 & 0 \\ 0 & w_2 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & w_n \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix} = w_2 \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}$$

operator \times vector = number \times (same vector) ; $\hat{\Omega} |w_i\rangle = w_i |w_i\rangle$

These are the eigenvectors, represented in the eigenbasis. Note that taking R.H.S. to L.H.S. ...

$$\begin{pmatrix} w_1 - w_1 & 0 & 0 \\ 0 & w_2 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & w_n \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & \dots & 0 \\ 0 & w_2 & & \\ \vdots & \vdots & \ddots & \vdots \\ 0 & & & w_n \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} = 0$$

- no inverse.
- $\det = 0 \times w_2 \times w_3 \times \dots \times w_n = 0$

It would be great if we all only had to ever work with diagonal representations of matrices. But often (particularly in QM), the set up of a problem hands you a representation of a matrix that is not diagonal. How to diagonalize $\hat{\Omega}$?

Meaning: $w_i \delta_{ij} = \langle w_i | \hat{\Omega} | w_j \rangle = \sum_{k \in e} \langle w_i | k \rangle \langle k | \hat{\Omega} | l \rangle \langle l | w_j \rangle$

$$w_i \delta_{ij} = \sum_{k \in U_{ik}^+} \langle w_i | k \rangle \Omega_{ke} \underbrace{\langle l | w_j \rangle}_{U_{lj} \leftrightarrow \text{special, takes you to the eigenbasis.}}$$

Sometimes you only get Ω_{ke} , which isn't generally diagonal! But you'd like to get the eigenvalues anyway. How?

Start from: $\sum_k |w_i\rangle = w_i |w_i\rangle$

$\sum_k |k\rangle\langle k|$ \leftarrow "random basis"

$$\sum_{k \in e} |k\rangle\langle k| \Omega |l\rangle\langle l| w_i = \sum_k w_i |k\rangle\langle k| w_i$$

\leftarrow "eigen-vector"

or

$$\begin{pmatrix} \Omega_{11} & \Omega_{12} & \dots & \Omega_{1n} \\ \Omega_{21} & \Omega_{22} & & \\ \vdots & & & \\ \Omega_{n1} & & & \Omega_{nn} \end{pmatrix} \begin{pmatrix} \langle 1 | w_i \rangle \\ \langle 2 | w_i \rangle \\ \vdots \\ \langle n | w_i \rangle \end{pmatrix} = w_i \begin{pmatrix} \langle 1 | w_i \rangle \\ \langle 2 | w_i \rangle \\ \vdots \\ \langle n | w_i \rangle \end{pmatrix}$$

not all zeros

take to left

$$\begin{pmatrix} \Omega_{11} - w_i & \Omega_{12} & \dots & \Omega_{1n} \\ \Omega_{21} & \Omega_{22} - w_i & & \\ \vdots & & & \\ \Omega_{n1} & & & \Omega_{nn} - w_i \end{pmatrix} \begin{pmatrix} \langle 1 | w_i \rangle \\ \langle 2 | w_i \rangle \\ \vdots \\ \langle n | w_i \rangle \end{pmatrix} = 0$$

- must have no inverse
- det is ZERO.

non-trivial
(not all zeros)

$$\det \begin{pmatrix} \Omega_{11}-w & \Omega_{12} & \dots & \Omega_{1n} \\ \Omega_{21} & \Omega_{22}-w & & \\ \vdots & & \ddots & \\ \Omega_{n1} & & & \Omega_{nn}-w \end{pmatrix} = 0$$

← dropped subscript from w

will be an n^{th} order polynomial, known as the "characteristic equation"

$$P^n(w) = \sum_{m=0}^n c_m w^m = 0 \quad \leftarrow \text{generally, } n \text{ roots}$$

$\{w_1, w_2, w_3, \dots, w_n\}$

one solves the characteristic equation for the eigenvalues (n of them) first. Once you've got the n eigenvalues, $\{w_1, w_2, w_3, \dots, w_n\}$, you then plug back in to the equation:

$$\begin{pmatrix} \Omega_{11}-w_i & \Omega_{12} & \dots & \Omega_{1n} \\ \Omega_{21} & \Omega_{22}-w_i & & \\ \vdots & & \ddots & \\ \Omega_{n1} & & & \Omega_{nn}-w_i \end{pmatrix} \begin{pmatrix} \langle 1|w_i \rangle \\ \langle 2|w_i \rangle \\ \vdots \\ \langle n|w_i \rangle \end{pmatrix} = 0$$

suppose $\Omega \doteq \begin{pmatrix} 1 & \sqrt{2}i \\ -\sqrt{2}i & 2 \end{pmatrix}$ in some "random" basis

eigenvalues:

$$\begin{aligned} \begin{vmatrix} 1-w & \sqrt{2}i \\ -\sqrt{2}i & 2-w \end{vmatrix} &= (1-w)(2-w) - 2i(-i) \\ &= 2 - 3w + w^2 - 2 = 0 \\ &= \underbrace{w^2 - 3w}_{P^2(w)} = 0 = w(w-3) \end{aligned}$$

$w_1=0, w_2=3$

note: $\text{Tr}(\underline{\underline{\Omega}}) = 1 + 2 = 3$

in eigenbasis $\underline{\underline{\Omega}} = \begin{pmatrix} w_1 & 0 \\ 0 & w_2 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 3 \end{pmatrix}$, $\text{Tr}(\underline{\underline{\Omega}}) = w_1 + w_2 = 3$

$$\det(\underline{\underline{\Omega}}) = \begin{vmatrix} 1 & \sqrt{2}i \\ -\sqrt{2}i & 2 \end{vmatrix} = 2 - (\sqrt{2})(-\sqrt{2})i^2 = 2 - 2 = 0$$

$$= \begin{vmatrix} w_1 & 0 \\ 0 & w_2 \end{vmatrix} = w_1 w_2 = 0 \cdot 3 = 0.$$

Now: what are the eigenvectors?

$$w_1: \begin{pmatrix} 1-w_1 & \sqrt{2}i \\ -\sqrt{2}i & 2-w_1 \end{pmatrix} \begin{pmatrix} \langle 1|w_1 \rangle \\ \langle 2|w_1 \rangle \end{pmatrix} = 0 \quad \begin{array}{l} \text{call: } \langle 1|w_1 \rangle = U_{11} \\ \langle 2|w_1 \rangle = U_{21} \end{array}$$

$$\begin{pmatrix} 1 & \sqrt{2}i \\ -\sqrt{2}i & 2 \end{pmatrix} \begin{pmatrix} U_{11} \\ U_{21} \end{pmatrix} = 0 \quad \begin{array}{l} U_{11} + \sqrt{2}i U_{21} = 0 \quad \frac{U_{21}}{U_{11}} = \frac{-1}{\sqrt{2}i} \\ -\sqrt{2}i U_{11} + 2U_{21} = 0 \quad \frac{U_{21}}{U_{11}} = \frac{-\sqrt{2}i}{-2} \end{array}$$

$$\boxed{\frac{U_{21}}{U_{11}} = \frac{i}{\sqrt{2}}}$$

both! \leftarrow

want this vector to be unit length

$$\begin{aligned} (U_{11}^* \ U_{21}^*) \begin{pmatrix} U_{11} \\ U_{21} \end{pmatrix} &= |U_{11}|^2 + |U_{21}|^2 = 1 \\ &= |U_{11}|^2 \left(1 + \left| \frac{U_{21}}{U_{11}} \right|^2 \right) = 1 \end{aligned}$$

$$= |U_{11}|^2 \left(1 + \frac{1}{2} \right) = 1$$

$$= |U_{11}|^2 \times \frac{3}{2} = 1, \quad |U_{11}| = \sqrt{\frac{2}{3}}$$

with δ_i = arbitrary real #, $\boxed{U_{11} = \sqrt{\frac{2}{3}} e^{i\delta_1}, \quad U_{21} = \sqrt{\frac{1}{3}} i e^{i\delta_1}}$

now: plug in $w_2 = 3$

$$\begin{pmatrix} 1-w_2 & \sqrt{2}i \\ -\sqrt{2}i & 2-w_2 \end{pmatrix} \begin{pmatrix} \langle 1|w_2 \rangle \\ \langle 2|w_2 \rangle \end{pmatrix} = 0 \quad \begin{matrix} \text{call } \langle 1|w_2 \rangle = U_{12} \\ \langle 2|w_2 \rangle = U_{22} \end{matrix}$$

$$\begin{pmatrix} -2 & \sqrt{2}i \\ -\sqrt{2}i & -1 \end{pmatrix} \begin{pmatrix} U_{12} \\ U_{22} \end{pmatrix} = 0 \Rightarrow \begin{matrix} -2U_{12} + \sqrt{2}i U_{22} = 0 & \frac{U_{12}}{U_{22}} = \frac{-\sqrt{2}i}{-2} \\ -\sqrt{2}i U_{12} - U_{22} = 0 & \frac{U_{12}}{U_{22}} = \frac{1}{\sqrt{2}i} \end{matrix}$$

both!

$$\frac{U_{12}}{U_{22}} = \frac{i}{\sqrt{2}}$$

$$\begin{aligned} (U_{12}^* \ U_{22}^*) \begin{pmatrix} U_{12} \\ U_{22} \end{pmatrix} &= |U_{12}|^2 + |U_{22}|^2 = 1 \\ |U_{22}|^2 \left(1 + \frac{|U_{12}|^2}{|U_{22}|^2} \right) &= 1 \\ |U_{22}|^2 \left(1 + \frac{1}{2} \right) &= 1 = |U_{22}|^2 \times \frac{3}{2} = 1 \\ |U_{22}|^2 &= \frac{2}{3} \quad |U_{22}| = \sqrt{\frac{2}{3}} \end{aligned}$$

with $\delta_2 = \text{arbitrary real \#}$, $U_{22} = \sqrt{\frac{2}{3}} e^{i\delta_2} \quad U_{12} = \sqrt{\frac{1}{3}} i e^{i\delta_2}$

note:

$$\langle w_2 | w_1 \rangle = \sum_i \langle w_2 | i \rangle \langle i | w_1 \rangle = (U_{12}^* \ U_{22}^*) \begin{pmatrix} U_{11} \\ U_{21} \end{pmatrix}$$

$$= \left(-\sqrt{\frac{1}{3}} i e^{-i\delta_2} \quad \sqrt{\frac{2}{3}} e^{-i\delta_2} \right) \begin{pmatrix} \sqrt{\frac{2}{3}} e^{i\delta_1} \\ \sqrt{\frac{1}{3}} i e^{i\delta_1} \end{pmatrix}$$

$$= i e^{i(\delta_1 - \delta_2)} \left(-\frac{\sqrt{2}}{3} + \frac{\sqrt{2}}{3} \right) = 0$$

basis is orthonormal

That means... the matrix formed by columns of eigenvectors is unitary:

$$U \equiv \begin{pmatrix} \langle 1|w_1 \rangle & \langle 1|w_2 \rangle \\ \langle 2|w_1 \rangle & \langle 2|w_2 \rangle \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} = \begin{pmatrix} \sqrt{\frac{2}{3}} e^{i\delta_1} & \sqrt{\frac{1}{3}} i e^{i\delta_2} \\ \sqrt{\frac{1}{3}} i e^{i\delta_1} & \sqrt{\frac{2}{3}} e^{i\delta_2} \end{pmatrix}$$

$$U^\dagger U = \begin{pmatrix} \sqrt{\frac{2}{3}} e^{-i\delta_1} & -\sqrt{\frac{1}{3}} i e^{-i\delta_1} \\ -\sqrt{\frac{1}{3}} i e^{-i\delta_2} & \sqrt{\frac{2}{3}} e^{-i\delta_2} \end{pmatrix} \begin{pmatrix} \sqrt{\frac{2}{3}} e^{i\delta_1} & \sqrt{\frac{1}{3}} i e^{i\delta_2} \\ \sqrt{\frac{1}{3}} i e^{i\delta_1} & \sqrt{\frac{2}{3}} e^{i\delta_2} \end{pmatrix} = \begin{pmatrix} \frac{2}{3} + \frac{1}{3} & i e^{i(\delta_2 - \delta_1)} \frac{\sqrt{2}}{3} (1-1) \\ i e^{i(\delta_1 - \delta_2)} \frac{\sqrt{2}}{3} (1-1) & \frac{1}{3} + \frac{2}{3} \end{pmatrix}$$

... usually, choose δ_1, δ_2 for convenience.

Th'm 9 : The eigenvalues of a Hermitian Operator are real.

$$H.O. : \hat{\Omega} = \hat{\Omega}^\dagger$$

eigenvector : $\hat{\Omega} |w\rangle = w |w\rangle$ and $\langle w|w\rangle \neq 0$
 (non-trivial).

$$\langle w | \hat{\Omega} | w \rangle = w \langle w | w \rangle$$

Adjoint that $\langle w | \hat{\Omega}^\dagger = w^* \langle w |$

↑
 self-adjoint.

$$\langle w | \hat{\Omega} = w^* \langle w |$$

$$\langle w | \hat{\Omega} | w \rangle = w^* \langle w | w \rangle = w \langle w | w \rangle$$

$$\boxed{w^* = w} \quad w \text{ is real.}$$

Th'm 10 Basis of eigenkets of an H.O. is ortho-normal.

orthogonal
that's the
key point

normalize,
by choice.

Case A All eigenvalues are distinct; no two eigenvalues are equal (non-degenerate)

$$\hat{\Omega} |w_i\rangle = w_i |w_i\rangle \quad \langle w_j | \hat{\Omega}^\dagger = w_j^* \langle w_j |$$

Hermitian

$$\langle w_j | \hat{\Omega} = w_j^* \langle w_j |$$

$$= w_j \langle w_j |$$

now look at: $\langle w_j | \hat{\Omega} |w_i\rangle$

$$= w_i \langle w_j | w_i \rangle$$

$$= w_j \langle w_j | w_i \rangle$$

not equal must be zero!

by choice $\langle w_i | w_i \rangle = 1$

so $\langle w_i | w_j \rangle = \delta_{ij}$ (orthonormal).

Case B some equal eigenvalues ("degenerate")
still, $\langle w_i | w_j \rangle = 0$ when $w_i \neq w_j$

• complication comes when $w_i = w_j$
easier than you might think.

think of...

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$$\begin{pmatrix} w_1 & 0 & & \\ 0 & w_2 & & \\ & & \boxed{\begin{matrix} w_n & 0 & 0 \\ 0 & w_n & 0 \\ 0 & 0 & w_n \end{matrix}} & \\ & & & \dots \end{pmatrix}$$

→ this block is

$$= w_n \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- identity matrix looks the same in any basis.
 - must choose a basis (in the degenerate subspace) that is orthonormal, by Gram-Schmidt, for example.
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